

**ARJ SECURITIES PVT. LTD.****STOCK BROKER – INZ000237937**48/49, 4<sup>TH</sup> FLOOR, BAJAJ BHAVAN, 226-BARRISTER RAJANI PATEL MARG, NARIMAN POINT,**Annexure B****Investor Complaints Data to be displayed by Stock Broker  
Data for every month ending – October - 2025**

| SR. No. | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average Resolution time (in days) |
|---------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|-----------------------------------|
|         |                         |                                     |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                   |
| 1       | Directly from Investors | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                               |
| 2       | SEBI (SCORES)           | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                               |
| 3       | Stock Exchanges         | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                               |
| 4       | Other Sources (if any)  | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                               |
|         | <b>Grand Total</b>      | NIL                                 | NIL                       | NIL           | NIL       | NIL                               |                                | NIL                               |

**Trend of Monthly disposal of complaints**

| Sr. No. | Month              | Carried forward from previous month | Received | Resolved* | Pending** |
|---------|--------------------|-------------------------------------|----------|-----------|-----------|
| 1       | April – 2025       | NIL                                 | NIL      | NIL       | NIL       |
| 2       | May – 2025         | NIL                                 | NIL      | NIL       | NIL       |
| 3       | June – 2025        | NIL                                 | NIL      | NIL       | NIL       |
| 4       | July – 2025        | NIL                                 | NIL      | NIL       | NIL       |
| 5       | August – 2025      | NIL                                 | NIL      | NIL       | NIL       |
| 6       | Sept. – 2025       | NIL                                 | NIL      | NIL       | NIL       |
| 7       | Oct – 2025         | NIL                                 | NIL      | NIL       | NIL       |
|         | <b>Grand Total</b> | NIL                                 | NIL      | NIL       | NIL       |

**Trend of Annual disposal of complaints**

| Sr. No. | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|---------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1       | 2017-18            | NIL                                | NIL                      | NIL                      | NIL                            |
| 2       | 2018-19            | NIL                                | NIL                      | NIL                      | NIL                            |
| 3       | 2019-20            | NIL                                | NIL                      | NIL                      | NIL                            |
| 4       | 2020-21            | NIL                                | NIL                      | NIL                      | NIL                            |
| 5       | 2021-22            | NIL                                | NIL                      | NIL                      | NIL                            |
| 6       | 2022-23            | NIL                                | NIL                      | NIL                      | NIL                            |
| 7       | 2023-24            | NIL                                | NIL                      | NIL                      | NIL                            |
| 8       | 2024-25            | NIL                                | NIL                      | NIL                      | NIL                            |
|         | <b>Grand Total</b> | NIL                                | NIL                      | NIL                      | NIL                            |