

# Account Opening Form

For Individual / Non –Individual

## ARJ SECURITIES PVT. LTD.

Central Depository Services (India) Limited (CDSL) Depository Participant (DP)

SEBI Regn No. IN-DP-CDSL-247-2004 DP ID: - 12034000

Regd. Office Address: 48/49, 4TH FLOOR, BAJAJ BHAVAN, 226-BARRISTER RAJANI PATEL  
MARG, NARIMAN POINT, MUMBAI – 400021

Tel. No. +91-22-4025 1100 / 14 /16, Fax: +91-22-22041636

Compliance Officer: Mr. Premal Parekh, Email Id: [premal@arjsecurities.com](mailto:premal@arjsecurities.com),

Tel. No. 022-40251129

For any grievance/dispute please contact M/s ARJ Securities Pvt. Ltd. at the above address or

Email Id – [investorgrievance@arjsecurities.com](mailto:investorgrievance@arjsecurities.com),

<b>BO ID</b>	:	1	2	0	3	4	0	0	0										
Sole / First Holder's Name	:																		
Second Holder's Name	:																		
Third Holder's Name	:																		

### INDEX

No.	Name of the Document	Brief Significance of the Document	Page No.
<b>PART I - DOCUMENTS FOR DEMAT SERVICES</b>			
1	KYC	a) KRA - Document captures the basic information about the Individual & Non-individual	
		b) Additional KYC information – Individual	2-6
		c) Additional KYC information – Non- Individual	7-9
		d) Option for Issue of DIS Booklet	10
2	Rights & Obligations	Document stating the Rights & Obligations DP and BO	11-14
3	SMS Alerts Services of CDSL	Annexure 2.4 for SMART (SMS) Services of CDSL (Mandatory for POA Clients)	16-18
4	TRUST of CDSL	Annexure 2.6 for TRUST Services of CDSL	19-20
5	POA for Demat Account Operations of Demat Account	Power of Attorney to M/s ARJ Securities Pvt. Ltd. for - DDPI	21-22
6	Tariff sheet	Tariff sheet for Demat charges	23
7	CAS Declaration	Declaration for Consolidate Account Statements (CAS)	24
8	BSDA Declaration	Declaration for Basic Services Demat Account (BSDA)	25
9		FATCA / CRS DETAILS	26

**ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT – For Individual**

(To be filled by the Depository Participant)

Application No.					Date				
DP Internal Reference No.									
DP ID	1	2	0	3	4	0	0	0	BO ID

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

**Holder's Details**

<b>Sole / First Holder's Name</b>	PAN																		
	UID																		
	UCC																		
	<b>EXCHANGE NAME &amp; ID</b>																		
<b>Second Holder's Name</b>	PAN																		
	UID																		
<b>Third Holder's Name</b>	PAN																		
	UID																		

**[In case of Minor holder, photograph of guardian has to be affixed along with minor photograph]**

Name	:	_____
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\* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

**Types of Account (Please tick whichever is applicable)**

Status	Sub- status	
<b>Individual</b>	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual Margin Trading a/c (Mantra)
	<input type="checkbox"/> Individual Director Relative	<input type="checkbox"/> HUF / AOP
	<input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Others (Specify) _____
	<input type="checkbox"/> Individual Director	
<b>NRI</b>	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable
	<input type="checkbox"/> NRI Repatriable Promoter	<input type="checkbox"/> NRI Non-Repatriable Promoter
	<input type="checkbox"/> NRI-Depository Receipt	<input type="checkbox"/> Others (Specify) _____
<b>Foreign National</b>	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National -Depository Receipt
	<input type="checkbox"/> Others (Specify) _____	

**Details of Guardian (in case the account holder is minor)**

Guardian's Name	_____																					
PAN																Relationship with the applicant						

I / We instruct the DP to receive each and every credit in my/our account	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No

I/ We would like to share the email ID with the RTA (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical & Electronic
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I/ We wish to receive dividend / interest directly in to my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

Bank Details [Dividend Bank Details]	
Bank Code (9 digit MICR code)	_____
Bank Name	: _____
Branch	: _____
Bank Address	: _____
City	: _____
Account number	: _____
IFSC CODE	: _____
Account type	: <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO and not more than 3 months old, (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.

In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.

OTHER DETAILS				
<b>Gross Annual Income Details ( Please tick (√) ): Income Range per annum:</b>				
<input type="checkbox"/> Up to Rs. 100,000	<input type="checkbox"/> 1 to 5 Lac	<input type="checkbox"/> 5 to 10 Lac	<input type="checkbox"/> 10 to 25 Lac	<input type="checkbox"/> More than 25 Lac
<b>OR</b>				
Net-worth in Rs. _____ as on (date) _____ / _____ / _____ [Net worth should not be older than 1 year]				
<b>Occupation ( Please tick (√) ):</b>				
<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional
<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer
<input type="checkbox"/> Others (Please specify) _____				
<b>Please tick, if applicable:</b>				
<input type="checkbox"/> Politically Exposed Person	<input type="checkbox"/> Politically Exposed Person Related to a Politically Exposed Person			
Any other information: _____				

SMS Alert Facility Refer to Terms & Conditions given as <b>Annexure - 2.4</b>	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney ( POA)]  (If POA is not granted & you do not wish to avail of this facility, cancel this option).									
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same <input type="checkbox"/> Yes <input type="checkbox"/> No  I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST <table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								
Easi	To register for easi, please visit our website www.cdslindia.com. Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

**MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)**

<input type="checkbox"/> Jointly	<input type="checkbox"/> Anyone of the Holder
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Consent for Communication to be received by first account holder/ all Account holder: (Tick the applicable box. If not marked the default option would be first holder.		
<input type="checkbox"/> First Holder	<input type="checkbox"/> All Holder	Email id
	<input type="checkbox"/> Second Holder	
	<input type="checkbox"/> Third Holder	

**Declaration Form for opting out of nomination**  
[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

<b>To,</b> <b>ARJ Securities Pvt. Ltd.</b> <b>48/49, 4th Floor, Bajaj Bhavan, 226-Barrister Rajani Patel Marg,</b> <b>Nariman Point, Mumbai – 400021</b>									
DP ID	1	2	0	3	4	0	0	0	
Client ID									
Sole/First Holder Name									
Second Holder Name									
Third Holder Name									
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.									
<b>Name and Signature of Holder(s)*</b>									
1. _____ 2. _____ 3. _____									

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature



10	Address of Guardian(s)			
	City / Place: State & Country:			
	PIN Code			
11	Mobile / Telephone no. of Guardian			
12	Email ID of Guardian			
13	Relationship of Guardian with nominee			
14	Guardian Identification details – [Please tick any one of following and provide details of same]  Photograph			
	Signature			
	PAN NO.			
	AADHAAR NO.			
Name(s) of holder(s)				Signature(s) of holder*
Sole / First Holder (Mr./Ms.)				
Second Holder (Mr./Ms.)				
Third Holder (Mr./Ms.)				

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Nomination Form accepted and registered wide Registration No. \_\_\_\_\_ dated \_\_\_\_\_.

**M/s ARJ Securities Pvt. Ltd.**

### Authorised Signatories

**Note:**

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

**KNOW YOUR CLIENT (KYC) APPLICATION FORM - For Non-Individuals**

(To be filled by the Depository Participant)

<b>Application No.</b>										<b>Date</b>									
<b>DP Internal Reference No.</b>																			
<b>DP ID</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>BO ID</b>									

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details:-

<b>Holders Details</b>																			
Sole / First Holder's Name										PAN									
Search Name:										UID									
										UCC									
<b>EXCHANGE NAME &amp; ID</b>																			
Second Holder's Name										PAN									
										UID									
Third Holder's Name										PAN									
										UID									

<b>Name *</b>																		
<i>*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.</i>																		

**Types of Account** (Please tick whichever is applicable)

<b>Status</b>										<b>Sub- status</b>										
<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Trust	<input type="checkbox"/> FII	<input type="checkbox"/> Clearing House							To be filled by the DP										
<input type="checkbox"/> Bank	<input type="checkbox"/> Mutual Fund	<input type="checkbox"/> CM	<input type="checkbox"/> Others (Specify) _____																	
<input type="checkbox"/> OCB	<input type="checkbox"/> FI																			
SEBI Registration No. (If Applicable)								SEBI Registration date												
RBI Registration No. (If Applicable)								RBI Approval date												
Nationality		<input type="checkbox"/> Indian <input type="checkbox"/> Others (Specify) _____																		

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')										: [Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No								
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')										: <input type="checkbox"/> Yes <input type="checkbox"/> Not								
Account Statement Requirement		: <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly																
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID										: <input type="checkbox"/> Yes <input type="checkbox"/> No								

I/ We would like to share the email ID with the RTA	:	<input type="checkbox"/> Yes	<input type="checkbox"/> No
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I/ We would like to share the email ID with the RTA (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical	<input type="checkbox"/> Electronic	<input type="checkbox"/> Both Physical & Electronic
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**Clearing Member Details (To be filled by CMs only)**

Name of Stock Exchange			
Name of CC / CH			
Clearing Member Id		Trading member ID	

**Bank Details [Dividend Bank Details]**

Bank Code (9 digit MICR code)	:	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Bank Name	:	<input type="text"/>							
Branch	:	<input type="text"/>							
Bank Address	:	<input type="text"/>							
City	:	<input type="text"/>							
Account number	:	<input type="text"/>							
IFSC CODE	:	<input type="text"/>							
Account type	:	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____							

- i. Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- ii. Photocopy of the Bank Statement having name and address of the BO and not more than 3 months old, (or)
- iii. Photocopy of the Passbook having name and address of the BO, (or)
- iv. Letter from the Bank.

➤ In case of option (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

**OTHER DETAILS**

**Gross Annual Income Details ( Please tick (√) ): Income Range per annum:**

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Up to Rs. 100,000	1 Lac to 5 Lac	5 Lac to 10 Lac	10 Lac to 25 Lac	25 Lac to 1 Cr.	More than 1 Cr.

**OR**

Net-worth in Rs. \_\_\_\_\_ as on  
(date) \_\_\_\_/\_\_\_\_/\_\_\_\_ [Net worth should not be older than 1 year]

**Please tick If any of the authorized signatories / Promoters / Partners / Karta / Trustees / Whole Time Directors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP) . Please provide details as per Annexure 2.2 A**

**Any other information:** \_\_\_\_\_

SMS Alert Facility Refer to Terms & Conditions given as <b>Annexure - 2.4</b>	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney ( POA)]  (If POA is not granted & you do not wish to avail of this facility, cancel this option).									
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same Yes <input type="checkbox"/> No <input type="checkbox"/>  I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST <table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								
Easi	To register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

I/We have received and read the document of ‘Rights and Obligation of BO-DP’ (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signatures			

*(Signatures should be preferably in black ink).  
(In case of more authorised signatories, please add annexure)*

**OPTION FORM FOR ISSUE OF DIS BOOKLET**

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

DP ID	1	2	0	3	4	0	0	0	Client ID								
First Holder Name																	
Second Holder Name																	
Third Holder Name																	

To,  
**ARJ SECURITIES PVT. LTD.**

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

**OPTION 1:**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening of my / our CDSL account though I / we have issued a Power of Attorney (POA) / registered for eDIS / executed PMS agreement in favour of / with (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such <sup>s</sup>Power of Attorney holder -Clearing Member / by PMS manager/ for executing delivery instructions through eDIS.

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**OR**

**OPTION 2:**

I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I / We have issued a POA/ registered for eDIS / executed PMS agreement in favour of / with \_\_\_\_\_ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney Holder - Clearing Member / by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully

	<b>First/Sole Holder</b>	<b>Second Joint Holder</b>	<b>Third Joint Holder</b>
Name			
Signatures			

## **Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories**

### **General Clause:**

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

### **Beneficial Owner information:**

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

### **Fees/Charges/Tariff:**

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

### **Dematerialization:**

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

### **Separate Accounts:**

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

**Transfer of Securities:**

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.
13. The stock broker / stock broker and depository participant shall not directly / indirectly compel the clients to execute Power of Attorney (PoA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute PoA or DDPI.

**Statement of account:**

14. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
15. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
16. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
17. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

**Manner of Closure of Demat account:**

18. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
19. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

**Default in payment of charges:**

20. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
21. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

**Liability of the Depository:**

22. As per Section 16 of Depositories Act, 1996,
  1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
  2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

**Freezing / Defreezing of accounts:**

23. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
24. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.
25. The Joint holders are aware that in case of any Statutory Order for freezing any one joint holder, the demat account will be frozen and the other joint holders will have to obtain a specific Order for unfreezing their percentage of joint ownership by submitting the relevant documentary proof to the Order issuing authority.

**Redressal of Investor grievance:**

26. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

**Authorized representative:**

27. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

**Law and Jurisdiction:**

28. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
29. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
30. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
31. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI.
32. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

33. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

<b>DP ID</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>BO ID</b>								
(Signed, sealed and delivered By the within named beneficial Owner/s)																	
	Sole / First Holder					Second holder					Third Holder						
Name																	
Specimen Signature																	
	(Signature)					(Signature)					(Signature)						
In the presence of BO (Name & Address of Witness)						In the presence of DP (Name & Address of Witness)											
(Signature)						(Signature)											
Date:- ____/____/____						For <b>M/s ARJ Securities Pvt. Ltd.</b>											
Place:- _____						(Director/Authorised Signatory)											



**Terms and Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL**  
**[SMS Alerts will be sent by CDSL to BOs for all debits and for all credits as well.]**

**Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17<sup>th</sup> Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

**Availability:**

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

**Receiving Alerts:**

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.

5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

**Fees:**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

**Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I / We wish to avail the SMS Alerts facility provided by the depository on my / our mobile number provided in the registration form subject to the terms and conditions mentioned below. I / We consent to CDSL providing to the service provider such information pertaining to account / transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request



**Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL**

**1. Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. "Depository" means Central Depository Services (India) Limited (CDSL)
  - ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
  - iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
  - iv. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
  - v. "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository.
  3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
  4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
  5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
  6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
  7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
  8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
  9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP.

10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
17. Indemnity:-In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

18. 18. Disclaimer:-

Depository shall be absolved of any liability in case:-

- a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
- b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
- c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

BO1 X \_\_\_\_\_

BO2 X \_\_\_\_\_

BO3 X \_\_\_\_\_

## POWER OF ATTORNEY

### DEMAT DEBIT AND PLEDGE INSTRUCTIONS (DDPI)

To all to whom these presents shall come **I/WE MR./MRS./M/S.** \_\_\_\_\_  
 \_\_\_\_\_ Having his/her/its residence/registered  
 office/place of business at \_\_\_\_\_  
 \_\_\_\_\_:

Whereas I/ we hold Beneficial Owner Account Number **12034000** \_\_\_\_\_ with Central Depository Services (India) Limited (CDSL), through **M/s ARJ Securities Pvt. Ltd.** registered with Securities and Exchange Board of India (SEBI) having its Registered office address at **48/49,4<sup>th</sup> Floor, Bajaj Bhavan , 226 Barrister Rajani Patel Marg, Nariman Point, Mumbai - 400021** (hereinafter referred to as the “Stock Broker”). And Whereas I/ we am/ are desirous to buy and sell securities through the Stock Broker, who is a stock broker registered with SEBI bearing Registration No **INZ000237937** and member of National Stock Exchange of India Ltd Code: 06421 & BSE Ltd Clg. No. 11. Under a **Unique Client Code No.(UCC)** \_\_\_\_\_ .

I/We, the Account Holder/ Joint Account Holders do hereby give the following Instructions to **M/s. ARJ Securities Private Limited** as follows:

**List of the Stock Broker Designated Demat Account:**

	<b>120340000000345 (BSE Pool)</b>	<b>1203400000021718 (BSE CSMP)</b>	<b>1203400000022920 (BSE CUSPA)</b>
Sr. No.	Purpose	Signature of Client *	
1.	Transfer of securities held in the beneficial owner accounts towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchange through the same stock broker	First Holder  _____ Second Holder  _____ Third Holder  _____	
2.	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of me/us in connection with the trades executed by me/us on the Stock Exchange.	First Holder  _____ Second Holder  _____ Third Holder  _____	
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	First Holder  _____ Second Holder  _____ Third Holder  _____	
4	Tendering shares in open offers through Stock Exchange platforms	First Holder  _____ Second Holder  _____ Third Holder  _____	

I/We further agree and confirm that the powers and authorities conferred by this DDPI shall continue until it is revoked (without notice) in writing by me/us and that the said revocation shall be effective from the date on which the revocation notice is received by any person authorised in this regard by the Stock Broker in its office at **48/49,4<sup>th</sup> Floor, Bajaj Bhavan , 226 Barrister Rajani Patel Marg, Nariman Point, Mumbai - 400021**. However, such revocation shall not be applicable for any outstanding settlement obligation of funds/ securities arising out of the trades carried prior to receiving request for revocation of this DDPI.

<b>In the presence of</b>	<b>Witness 1</b>	<b>Witness 2</b>
<b>Name &amp; Address</b>		
<b>Signature</b>		

**IN CASE OF HUF:-**

No.	(Name of Co-parceners)	Signature of Co-parceners
1.		
2.		
3.		
4.		

Dated this \_\_\_day of \_\_\_20\_\_\_

I / We Accept

**For ARJ SECURITIES PVT. LTD.**

**Director / Authorised Signatory**

## TARIFF SHEET

<b>DP ID :</b>	34000
<b>Address :</b>	48/49, 4TH FLOOR, BAJAJ BHAVAN,226-BARRISTER RAJANI PATEL MARG,NARIMAN POINT,MUMBAI MAHARASHTRA INDIA-400021
<b>Tel No:</b>	022-40251116 40251100/14
<b>Fax No :</b>	022-22041636
<b>Email ID :</b>	<a href="mailto:info@arjsecurities.com">info@arjsecurities.com</a> , <a href="mailto:dp.info@arjsecurities.com">dp.info@arjsecurities.com</a> , <a href="mailto:premal@arjsecurities.com">premal@arjsecurities.com</a>
<b>Services :</b>	Account Opening, Dematerialisation, Rematerialisation, Transaction SELL/BUY Pledge Services

## TARIFFS

<b>Statutory Charges at the time of Account Opening</b>	510/- [ POA Franking Charges ]
<b>Advance / Deposit / Purchase (Credit in)</b>	Nil
<b>Account Maintenance:</b>	Rs.500/-for Individual + GST & Rs.1000/- for Corporate accounts + GST

### Market / Off Market Transfer / Inter Depository

<b>Transaction (Debit)</b>	Rs. 15/- per transaction + GST
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### Pledge / Hypothecation

<b>a) Pledge Creation confirmation :</b>	0.05% of the transaction value or Min Rs. 25/- per transaction, whichever is higher + GST
<b>b) Pledge Creation</b>	Rs. 12/- Per ISIN
<b>b) Invocation</b>	NIL
<b>Demat Charges</b>	Rs.3/- per certificate + Rs.25/- for Postage + GST
<b>Remat Charges</b>	Rs.25/- per transaction + Rs.3/- Per Certificate + Rs.30/- for Postage + GST
<b>Account Closing</b>	Nil
<b>Failed instruction charges</b>	Nil
<b>BSDA Charges</b>	Yes ( Below 4 lakhs Nil AMC, Between 4lakhs to 10lakhs AMC Rs. 100/- & Above 10 lakhs Same as normal charges & All Transaction charges As Same.)

	Sole / First Holder	Second holder	Third Holder
Name			
Specimen Signature	_____	_____	_____
	(Signature)	(Signature)	(Signature)

## CAS DECLARATION

Date:- \_\_ / \_\_ / 20 \_\_

To,	From,
<b>ARJ SECURITIES PVT. LTD.</b>	<b>BO Name:-</b>
<b>DP ID :- 12034000</b>	<b>BO ID:-</b>

Dear Sir/Madam,

SUB: NO CAS REQUIRED.

This is with reference to facility provided by CDSL regarding CAS (Consolidated account statement).

I / We DO NOT WISH TO OPT FOR CAS FACILITY.

I / We WANT TO OPT THIS FACILITY THROUGH EMAIL.

Specimen Signature	
Sole / First Holder	
Second Holder	
Third Holder	

**DECLARATION FOR AVAILING OF BASIC SERVICES DEMAT ACCOUNT (BSDA)  
FACILITY**

Date:- \_\_ / \_\_ / 20\_\_

To, <b>ARJ SECURITIES PVT. LTD.</b>	From, <b>BO Name:-</b>
<b>DP ID :- 12034000</b>	<b>BO ID:-</b>

Dear Sir / Madam,

- I / We wish to avail the BSDA facility for the new account for which we have submitted my / our account opening form.
- I/ We do **NOT** wish to avail BSDA facility.
- I / We wish to avail the BSDA facility for my / our below mentioned demat account number

	Name	PAN									
Sole/First Holder											
Second Holder											
Third Holder											

I/We have read and understood the regulatory (SEBI) guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time. I/we also undertake to comply with the guidelines issued by any such authority for BSDA facility from time to time. I/We also agree that in case our demat account opened under BSDA facility does not meet the eligibility for BSDA facility as per guideline issued by SEBI or any such authority at any point of time, my / our BSDA account will be converted to regular demat account without further reference to me/us and will be levied charges as applicable to regular accounts as informed by the DP.

I, the first / Sole holder also hereby declare that I do not have / propose to have any other demat account across depositories as a first / sole holder.

Specimen Signature	
Sole/First Holder	
Second Holder	
Third Holder	

To,  
**M/s. ARJ SECURITIES PVT. LTD.**  
**Member - BSE/NSE/CDSL**  
 48/49, 4TH FLOOR, BAJAJ BHAVAN,  
 226-BARRISTER RAJANI PATEL MARG,  
 NARIMAN POINT,  
 MUMBAI - 400021

Kindly note the following details for my **Demat A/c No. 12034000 000**\_\_\_\_\_ / our **UCC No.**  
 \_\_\_\_\_ maintained with you.

**FATCA / CRS DETAILS**

<b>Place and country of Birth</b>	
<b>Are you assessable to tax in any country other than India (yes/no)</b>	
<b>If no, we declare that</b>	<b>I/We are Indian citizens, my/our residence for tax purposes is India and I/we am/are not a "US person" as defined in Rule 114F of the Income Tax Rules, 1962</b>
<b>If yes, then kindly provide the Tax Payer Identification Number (TIN) or functional equivalent as issued in the specific country (in case of multiple countries, kindly give for all the countries)</b>	

I/We understand that you are relying on this information inter alia for the purpose of determining my/our status in compliance with FATCA/CRS regulations. You are not able to offer any tax advice on CRS or FATCA or its impact on me/us. I/We shall seek advice from any professional / tax advisor for any tax questions regarding the same. I/We agree to submit a new form within 30 days if any information or clarification provided in this form becomes incorrect. I/We agree that as may be required by domestic regulators / tax authorities, you may be required to provide report / information of the reportable details to CBDT & on the basis of their feedback you may close or suspend my/our account, if required.

I/We certify that the information provided on this form to the best of my/our knowledge and belief is true, correct and complete. Kindly update the above data in your systems and other places as desired by you.

**Sign of Client**

X

\_\_\_\_\_

**Client Name:-** \_\_\_\_\_

**PAN No.:** \_\_\_\_\_

**Place:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**SPECIMEN SIGNATURE OF CARD**

<b>DP ID</b>	1	2	0	3	4	0	0	0	<b>BO ID</b>									
--------------	---	---	---	---	---	---	---	---	--------------	--	--	--	--	--	--	--	--	--

	Sole / First Holder	Second holder	Third Holder
Name			
Specimen Signature	<hr/> <i>(Signature)</i>	<hr/> <i>(Signature)</i>	<hr/> <i>(Signature)</i>

-----Please tear here-----

**Acknowledgement Receipt for Account Opening Form (To be filled and given by ARJ Securities Pvt. Ltd.**

<b>Application No.</b>	
------------------------	--

<b>Date:-</b>			
---------------	--	--	--

**We hereby acknowledge the receipt of the Account Opening Application Form From:**

Name of the Sole / First Holder	
Name of the Second holder	
Name of the Third Holder	

**Depository Participant Seal and Signature**

-----Please tear here-----

**ACKNOWLEDGEMENT RECEIPT**

**Received Nomination Request Form:**

<b>DP ID</b>	1	2	0	3	4	0	0	0	<b>BO ID</b>									
--------------	---	---	---	---	---	---	---	---	--------------	--	--	--	--	--	--	--	--	--

Name	:																		
Address	:																		
	:																		
Nominee in Favour of	:																		
No Nomination	:	<input type="checkbox"/>	Does not wish to Nominate																
Registration No.	:								Registered on										
								(dd/mm/yyyy)											

**Depository Participant Seal and Signature**

## INSRTUCTIONS:-

### REQUIREMENT OF DEMAT ACCOUNT OPENING (CORPORATE)

1. Company Address Proof.
2. Pan Card of Company.
3. Bank proof of Company & Cancelled Cheque. (Last 6Month Bank statement)
4. Last 2 year Balance Sheet ( C.A. Certified )
5. MOA & AOA of Company.
6. Incorporation Certificate of Company.
7. Company Board Resolution.
8. All Directors PAN Card and Address proof.
9. Cheque of Rs.1690 /-(With Franking) & Rs.1180/- (Without Franking) in favour of “**ARJ SECURITIES PVT. LTD.**”
10. All Directors / Authorised Signatories photo with across signature required on photo.
11. Director’s stamp required on signature.
12. List of Authorized signatory will be verified by Director of company.
13. Letter of Authority who will operate the demat account.
14. All documents are certified by Director.
15. Shareholding Pattern with No. of % .

### REQUIREMENT OF DEMATE ACCOUNT OPENING (INDIVIDUAL)

1. Pan card of account holders
2. Aadhaar must.
3. Address proof of all account holders (Passport, Bank Pass Book etc.)
4. Bank proof (Pass Book + Statement etc.)
5. Cancelled Cheque
6. Rs. 1100/- (With Franking) & Rs.590/- (Without Franking) Cheque In Favor of “**ARJ SECURITIES PVT. LTD.**”
7. Email ID, Mobile NO. ( **All Holder’s** )
8. Nominee Pan & Aadhaar Must.

**All Documents are required with Self Attested by the client.**