



ARJ Securities Pvt Ltd

Integrity. Idea. Investment

CIN NO.: U67120MH1997PTC110924 | SEBI Reg. No. INZ000237937 | ARN No. 0871

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CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF THE DIRECTORS OF THE COMPANY M/S ARJ SECURITIES PVT. LTD. HELD ON 11/09/2026 AT 48/49, 4TH FLOOR, BAJAJ BHAVAN, NARIMAN POINT, MUMBAI – 400021.

REVIEW OF POLICIES

The Chairman of the Company placed before the Board the following policies for its consideration. The Board of Directors reviewed and approved the said policies at its meeting held on 11 September 2026.

1. PMLA Policy
2. Surveillance Policy
3. Authorised Person (AP) Policy
4. Risk Management Policy
5. Conflict of Interest policy
6. Internal Control Policy
7. Handling Good Till Date Orders Policy
8. Internal shortage policy
9. Online Business and Prevention Policy (OBPP)
10. Inactive Clients
11. Policy of Prefunded Instruments
12. Squaring off client positions in case of nonpayment of funds & margins
13. Trade Modification Policy
14. Voluntary freezing-unfreezing of the Online Trading Account Facility
15. Granting Exposure

The same was discussed and the following resolution was passed:

“RESOLVED THAT the Surveillance policy has been reviewed and discussed.”

The resolution was unanimously passed and resolved.

M/S ARJ SECURITIES PVT. LTD.

Harish J. Thakur
DIRECTOR

